

## CALFED Long-term Governance Principles

2/9/00

### 4.5.1 Principles

- Principle 1:** Federal/State Partnership. The CALFED Program, as defined in the final PEIS/R and accompanying documents, should be carried out through a State and Federal government partnership.
- Principle 2:** Accountability. There should be a clear point of, and process for accountability of the Program to the Legislature, the Congress, and the public.
- Principle 3:** Commission. A new joint State/Federal CALFED Commission should be created to direct efforts to achieve CALFED Bay-Delta Program goals and objectives.
- Principle 4:** Membership. The membership of the CALFED Commission should be made up of State, Federal, tribal and public members. Public members should represent a broad array of interested constituencies. State and federal members should be representatives at the highest level of the agency organization.
- Principle 5:** Leadership. The governing structure and authorities of the Commission should be designed to attract effective leadership.
- Principle 6:** Changes in Authorities. The Commission will not exercise or supplant any regulatory authorities. However, legislative changes in specified program and funding authorities should be made in legislation to consolidate or coordinate management of each Program Element.
- Principle 7:** Commission Responsibilities. The Commissioners should serve as the decision-making body for the CALFED Bay-Delta Program, and the Executive Director and Commission staff should manage the program be responsible for program direction and oversight. Responsibility for program direction and oversight should be retained by the Commission in order to reduce fragmentation of responsibility and accountability, and maximize coordination and integration among the Program Elements.
- Principle 8:** Agency/Tribal Participation. The Commission should establish a process to support participation and coordination with agencies (federal, state, and local) and tribes involved in and affected by the CALFED Program who are not members of the Commission. The Commission should facilitate government -to-government consultation with the Tribes.
- Principle 9:** Public Involvement. The Commission's meetings should be open and public, and the Commission should seek ways to maximize public knowledge of, and

involvement in, its work. The Commission should support involvement in the Program at a community-based level.

**Principle 10:** Program Management. Program management for each of the program elements should be specified in legislation establishing the Commission. Each program element should be evaluated to determine the appropriate entity for assuming program management functions. Responsibility for program management will vary between program elements depending on the nature of the program and actions, the expertise of agencies, and the ability of the agency to manage the programs without significant conflicting mandates.

~~Agency Delegation. The Commission should delegate program management and implementation responsibilities, as appropriate, to existing agencies or new entities. Delegation will vary between program elements depending on the nature of the program and actions, the expertise of the agencies, and the ability of the agency to manage the program without significant conflicting mandates.~~

**Principle 11:** Comparable Authority over Program Elements. Each of the Program Elements should have the same degree of autonomy from, as well as the same degree of accountability to, the Commission. For each Program Element, the Commission should exercise a comparable degree of authority over specified funding and programs, ~~including funding and programs within existing agencies~~

**Principle 12:** Funding. Funding for implementation of the CALFED Program should be appropriated directly to the Commission for those activities to be ~~directly managed by~~ assigned to the Commission. ~~Funding for the CALFED Program delegated to~~ For CALFED programs managed by a State or Federal agency, funding for the program should be appropriated directly to that agency, with control language requiring Commission review, coordination, and approval of program plans and priorities.

**Principle 13:** Crosscut Budget. For those funds and programs not under Commission approval but which are related to CALFED (to be specified in an interagency MOU), the appropriate agencies should participate in preparing an annual Crosscut Budget to ensure coordination with the CALFED Program.

**Principle 14:** Ecosystem Restoration Program(ERP). Due to the complexity and size of the ERP, there should be significant focus and accountability given to its management. A new ERP entity, under the authority of the Commission, should manage the ERP.

**Principle 15:** Legislative Reporting. The Commission should serve as the focal point for contact on the CALFED Program with Congress and the Legislature, and should provide annual status reports on the Program.